

07/01/03  
16179 U.S. PTO

**HUNTON & WILLIAMS**

July 1, 2003

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FILE NO: 57761.000188

17858 U.S. PTO  
10/609628  
07/01/03

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **57761.000188**

First Named Inventor: **Timothy B. CRIBBS**

Title: **SYSTEM AND METHOD FOR DETECTING AN ANOMALOUS CONDITION  
IN A MULTI-STEP PROCESS**

TO: **MAIL STOP PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor  
☐ Small Business Concern  
☐ Non-Profit Organization
3. ☐ Statement Enclosed  
☐ Statement Filed in Prior Application; Status Still Proper and Desired  
☒ Specification - Total Pages: 37 (Including Abstract)

CLAIMS AS FILED				Rate		Amount
	Claims Filed	Basic Fee Claims	Extra	Large Entity	Small Entity	
Total Claims	20	20	0	\$ 18.00	\$ 9.00	\$0.00
Independent Claims	4	3	1	\$ 84.00	\$ 42.00	\$84.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
BASIC FEE				\$ 750.00	\$ 375.00	\$750.00
TOTAL FILING FEE						\$ 0.00
Assignment Recordation Fee						\$40.00
TOTAL AMOUNT						\$874.00

4. ☒ Drawings

Total Sheets: 9

(Fig(s). 1 to 9)

5. Oath or Declaration

Total Pages: 1

ATLANTA AUSTIN BANGKOK BRUSSELS CHARLOTTE DALLAS HONG KONG KNOXVILLE  
LONDON MCLEAN MIAMI NEW YORK NORFOLK RALEIGH RICHMOND SINGAPORE WASHINGTON  
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- a. ☒ Newly executed (original or copy)  
☐ New (unexecuted)
- b. ☐ Copy from a prior application  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(s):**  
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or
- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☒ Assignee/Applicant: **General Electric Company**  
**1 River Road, Schenectady, New York 12345**
- ☒ Assignment and Assignment Recordation Form Submitted Herewith
- ☐ Assignee of Record in Prior Application No. \_\_\_\_\_ :  
\_\_\_\_\_  
\_\_\_\_\_  
recorded on \_\_\_\_\_ at Reel \_\_\_\_\_, Frame \_\_\_\_\_.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)



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12. ☐ Information Disclosure Statement with PTO-1449 and References  
☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Priority is Claimed as Follows:  
\_\_\_\_\_  
\_\_\_\_\_
- ☐ Claim Benefit of the Following U.S. Provisional Application No(s):  
\_\_\_\_\_  
\_\_\_\_\_
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_
18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of  
Prior Application No.: \_\_\_\_\_ filed \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_
- ☐ Incorporation By Reference (useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No.: \_\_\_\_\_  
filed \_\_\_\_\_



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19. Please address all correspondence to:

☒ CUSTOMER NUMBER

**\*21967\***

**21967**

PATENT TRADEMARK OFFICE

☒ **Kevin T. Duncan, Esq.**  
Intellectual Property Department  
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20. ☒ A check in the amount of \$ **874.00** is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.

☐ The U.S. Patent and Trademark Office is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:

Kevin T. Duncan

Registration No. 41,495

KTD/jfm

Enclosures